PTO/SB/05 (08-03)

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| UTILITY  |                 | ey Docket No.   | R2184.0274/P274   |  |  |  |  |  |  |
|--|-----------------|---|---|--|--|--|--|--|--|
|  |                 | ventor  | Toshiyuki K   | awasaki  |  |  |  |  |  |
| PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))   | Title<br>Expres | DIFFRACTION GRATING, METHOD OF FABRICATING DIFFRACTION OPTICAL ELEMENT, OPTICAL PICKUP DEVICE, AND, etc. ss Mail Label No.  |   |  |  |  |  |  |  |
| APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.  |                 | MS Patent Application  Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450   |   |  |  |  |  |  |  |
| 1. X Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing) 2. Applicant claims small entity status. See 37 CFR 1.27. 3. X Specification [Total Pages 11.] (preferred arrangement set forth below) - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 4. X Drawing(s) (35 U.S.C. 113) [Total Sheets 2.] 5. Oath or Declaration [Total Sheets 3.]  Newly executed (original or copy) b. Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed)  i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). |                 | 8. Nucleotide ar (if applicable, a. Compute if applicable, a. Compute b. Specificatin i. C. Stater  ACCO  9. Assignm  10. 37 CFR (when the interpretation of the interpretation | all necessary) uter Readable Fon on Sequence Listin CD-ROM or CD-R ments verifying ider DMPANYING AF ment Papers (cover 3.73(b) Statement here is an assignee Translation Docum ion Disclosure int (IDS)/PTO-1448 ary Amendment Receipt Postcard (N be specifically item Copy of Priority D priority is claimed) ication Request un it must attach form | adix) Gequence Submission  Im (CRF) Ing on: R (2 copies); or ii. Paper Initity of above copies  PPLICATION PARTS  Sheet & document(s)) In Power of Attorney Inent (if applicable) In X Copies of IDS  WIPEP 503) Inized) |  |  |  |  |  |
| 6. Application Data Sheet. See 37 CFR 1.76   |                 |   |   |  |  |  |  |  |  |
| 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:  Continuation Divisional Continuation-in-part (CIP) of prior application No.:  Prior application information: Examiner Art Unit:  For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.   |                 |   |   |  |  |  |  |  |  |
|  | RESPO           | NDENCE ADDRE  | SS  |  |  |  |  |  |  |
| x Customer Number: 24998   |                 |   | X Correspo  | ondence address below  |  |  |  |  |  |
| Name DICKSTEIN SHAPIRO MORIN & OSHINSKY LLP Mark J. Thronson   |                 |   |   |  |  |  |  |  |  |
| Address 2101 L Street NW   |                 |   |   |  |  |  |  |  |  |
| City Washington State  |                 | DC  | Zip Code  | 20037-1526   |  |  |  |  |  |
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| Name (Print/Type) Mark J. Thronson   |                 | Registration N  | o. (Attorney/Agent)   |  |  |  |  |  |  |
| Signature M  |                 | 2   | Date  | October 7, 2003  |  |  |  |  |  |

| EEE TO ANOMITTAL  |                             |                     | Complete if Known  |            |  |                       |                          |           |  |  |
|---|-----------------------------|---------------------|--|------------|--|-----------------------|--------------------------|-----------|--|--|
| FEE TRANSMITTAL   | ,                           | Application Number  |  |            |  | Not Yet Assigned      |                          |           |  |  |
| for FY 2004   |                             |                     | Filing Date  |            |  | Concurrently Herewith |                          |           |  |  |
|   |                             | First N             | Named Inventor   |            |  | Toshiyuki Kawasaki    |                          |           |  |  |
| Effective 10/01/2003, Patent fees are subject to annual revision.                                     |                             | Exam                | iner N   | lame _     |  | Not Yet Assigned      |                          |           |  |  |
| Applicant claims small entity status. See 37 CFR 1.27   |                             |                     | Art Unit   |            |  | N/A                   |                          |           |  |  |
| TOTAL AMOUNT OF PAYMENT (\$) 2,058.00   |                             | Attorney Docket No. |  |            |  | R2184.0274/P274       |                          |           |  |  |
| METHOD OF PAYMENT (check all that apply)  | FEE CALCULATION (continued) |                     |  |            |  |                       | ntinued)                 |           |  |  |
| Check X Credit Money Order Other None   |                             |                     |  |            |  |                       |                          |           |  |  |
| X Deposit Account:  | <b>-</b>                    |                     |  |            |  |                       |                          |           |  |  |
| Deposit   |                             | e Entity            |  | II Entity  |  |                       |                          |           |  |  |
| Account 04-1073   | Fee<br>Code                 | Fee<br>(\$)         | Fee<br>Code  |            |  | Fee Desc              | ription                  | Fee Paid  |  |  |
| Deposit Dickstein Shapiro Morin &   | 1051                        | 130                 | 2051   |            | Surchame .   | - late filing fe      | e or oath                |           |  |  |
| Account Name Oshinsky LLP   |                             |                     |  |            | -  | •                     | onal filing fee or cover |           |  |  |
| The Director is authorized to: (check all that apply)   | 1052                        | 50                  | 2052   | 25         | sheet.   | <b>,</b>              |                          |           |  |  |
| X Charge fee(s) indicated below X Credit any overpayments   | 1053                        | 130                 | 1053   | 130        | Non-Englis   | h specification       | า                        |           |  |  |
| X Charge any additional fee(s) during the pendency of this application                                | 1812                        | 2,520               | 1812   | 2,520      | For filing a r   | equest for ex p       | arte reexamination       |           |  |  |
| Charge fee(s) indicated below, except for the filling fee   | 1804                        | 920*                | 1804   | 920*       | Requesting<br>Examiner a   | publication o         | f SIR prior to           |           |  |  |
| to the above-identified deposit account.  | 1805                        | 1,840*              | 1805   | 1,840*     | Requesting<br>Examiner a   | publication o         | f SIR after              |           |  |  |
| FEE CALCULATION   | 1251                        | 110                 | 2251   | 55         |  | or reply within       | first month              |           |  |  |
| 1. BASIC FILING FEE   | 1252                        | 420                 | 2252   | 210        | Extension f  | or reply within       | second month             |           |  |  |
| Large Entity Small Entity   | 1253                        | 950                 | 2253   | 475        | Extension f  | or reply within       | third month              |           |  |  |
| Fee Fee Fee Fee Fee Description Fee Paid  Code (\$) Code (\$)   | 1254                        | 1,480               | 2254   | 740        | Extension f  | for reply within      | fourth month             |           |  |  |
| 1001 770 2001 385 Utility filing fee 770.00   | 1255                        | 2,010               | 2255   | 1,005      | Extension for reply within fifth month                                     |                       |                          |           |  |  |
| 1002 340 2002 170 Design filing fee   | 1401                        | 330                 | 2401   |            | ''   |                       |                          | $\square$ |  |  |
| 1003 530 2003 265 Plant filing fee  | 1402                        |                     | 2402   |            | Filing a brief in support of an appeal                                     |                       |                          | $\vdash$  |  |  |
| 1004 770 2004 385 Reissue filing fee  | 1403                        | 290                 | 2403<br>1451   |            | Request for oral hearing Petition to institute a public use proceeding     |                       |                          |           |  |  |
| 1005 160 2005 80 Provisional filing fee   | 1451<br>1452                | 1,510<br>110        | 2452   |            |  | revive unavo          | -                        |           |  |  |
| SUBTOTAL (1) (\$) 770.00  | 1453                        | 1,330               | 2453   |            |  | revive - uninte       |                          |           |  |  |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE   | 1501                        | 1,330               | 2501   | 665        | Utility issue  | fee (or reissu        | ie)                      |           |  |  |
| Extra Fee from<br>Claims below Fee Paid   | 1502                        | 480                 | 2502   | 2 240      | Design issu  | ue fee                |                          |           |  |  |
| Total Claims 42 -20** = 22 x 18.00 = 396.00   | 1503                        | 640                 | 2503   | 320        | Plant issue  | fee                   |                          |           |  |  |
| Independent 10 -3** = 7 x 86.00 = 602.00  | 1460                        | 130                 | 1460   | 130        | Petitions to the Commissioner  |                       |                          |           |  |  |
| Multiple Dependent 290.00 = 290.00  | 1807                        | 50                  | 1807   | 50         | Processing   | fee under 37          | CFR 1.17(q)              |           |  |  |
| Large Entity Small Entity   | 1806                        | 180                 | 1806   | 180        | Submission   | n of Informatio       | n Disclosure Stmt        |           |  |  |
| Fee Fee Fee Fee Code (\$) Code (\$)   | 8021                        | 40                  | 8021   | 40         |  | each patent a         | ssignment per            |           |  |  |
| 1202 18 2202 9 Claims in excess of 20   | 1809                        | 770                 | 2809   | 385        | Filing a sub   | omission after        | final rejection          |           |  |  |
| 1201 86 2201 43 Independent claims in excess of 3   | 1810                        | 770                 | (37 CFR 1.129(a)) 2810 385 For each additional invention to be |            |  | $\vdash$              |                          |           |  |  |
| 1203 290 2203 145 Multiple dependent claim, if not paid 1204 86 2204 43 ** Reissue independent claims | 1801                        | 770                 | 2801   |            | examined (37CFR 1.129(b)) Request for Continued Examination (RCE)          |                       |                          |           |  |  |
| over original patent  | 1802                        |                     | 1802   |            | Request for continued Examination (RCE)  Request for expedited examination |                       |                          |           |  |  |
| 1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent                             | Other fee (specify)         |                     |  |            |  |                       |                          |           |  |  |
| SUBTOTAL (2) (\$) 1,288.00  |                             |                     |  | Filing Fee | Paid   | SURTO                 | TAL (3) (\$)             | 0.00      |  |  |
| SUBTOTAL (2) (\$) 1,288.00 *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 0.00                   |                             |                     |  |            |  |                       |                          |           |  |  |
| SUBMITTED BY (Complete (if applicable))   |                             |                     |  |            |  |                       |                          |           |  |  |
| Name (Print/Type) Mark   Thronson Registration No. 33 092   |                             |                     |  |            | 1 1  | (202) 775-4742        |                          |           |  |  |
| Signature (Attorfey/Agent) 53,002   |                             |                     |  |            |  |                       | October 7, 200           |           |  |  |